ROUTING IN CAYUSE SP

PROPOSAL ROUTING AND APPROVALS
UCM CAYUSE WEBSITE
Welcome to Research Accounting Services

Research Accounting Services provides financial and accounting services for contracts and grants at UC Merced. In providing the following quality services, our office assists faculty and staff members in meeting their research and teaching objectives.

Contact Us
# Welcome to UC Merced Research Administration (Cayuse SP)

The Sponsored Projects Office (SPO):

- Completes all final reviews of grant proposals prior to submittal;
- Submits all proposals on behalf of the Regents of the University of California;
- Negotiates and accepts all awards.

If you would like assistance in the development and preparation of a research proposal prior to the final review by SPO please contact the Office of Research Development Services (RDS) at rds@ucmerced.edu.

The Research Development Services Office (RDS):

- Provides information about funding opportunities;
- Helps coordinate with proposal collaborators;
- Assists in budget development;
- Supports all aspects of research proposal preparation.
GENERAL INFORMATION

**General Information**

If you would like assistance in the preparation of this research funding proposal please contact the Office of Research Development Services at rdev@ucmerced.edu. Research Development Services staff are available to assist you in all aspects of proposal development and award service as your primary unit for information about funding opportunities, research proposal development, budgeting, award administration and compliance.

**Sponsor Information**

- **Sponsor:** [Redacted]
- **Funding Opportunity/Sponsor application No.:** MALLICKROOT DRA
- **Proposal Program Name:** MALLICKROOT GRANT
- **Proposed Guideline URL:** [Redacted]
- **Prime Funding Agency:** None

**General Proposal Information**

- **Award Admin Dept.:** Engineering
- **Name:** CHRISTINA CHRISTENSEN
- **Project No.:** CLI Mallinckrodt (1Aug14 8P18-0018) (internal reference name)
- **Project Start Date:** 09/01/2014
- **Project End Date:** 09/30/2017
- **Activity Code:** [Redacted]
- **Preposal Type:** New
- **Instrument Type:** Grant

**How will this proposal be submitted?**

- Select Submission Method: Email

**Affiliated Unit(s) (if applicable):** [Redacted]

- **Sponsor Deadline:** 09/01/2014
- **Title of Project:** Microscope X-ray luminescence computed tomography

**Additional Information**

- **Save**
INVESTIGATORS/RESEARCH TEAM

<table>
<thead>
<tr>
<th>Item List</th>
<th>15-0015</th>
</tr>
</thead>
<tbody>
<tr>
<td>View or Edit completed sections by clicking the name next to the check.</td>
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<tr>
<td>General Information</td>
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<tr>
<td>Investigators/Research Team</td>
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<td>Budget</td>
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<td>Regulatory Compliance</td>
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<td>Subcontractors</td>
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<td>Intellectual Property</td>
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<td>Location of Sponsored Activities</td>
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<td>Proposal Abstract</td>
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<td>Proposal Attachments</td>
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<td>Approving Units</td>
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<td>Submission Notes</td>
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<td>Submit for Routing</td>
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<td>Authorize Proposal</td>
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Add Personnel Information

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<th>Unit:</th>
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<tbody>
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<td>Sponsored Effort %</td>
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<tr>
<td>* Person Months:</td>
<td>% Effort Total</td>
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<tr>
<td></td>
<td>Allocation of Credit %</td>
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List of Personnel:

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<th>Role</th>
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<th>Cost Shared Effort %</th>
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</thead>
<tbody>
<tr>
<td>CHANGQING LI</td>
<td>Bioengineering</td>
<td>Lead Principal Investigator</td>
<td>8.33%</td>
<td>0%</td>
<td>8.33%</td>
<td>1.0</td>
<td>0%</td>
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</table>

NOTE: The sum of allocated credit on this proposal is currently 0%.
**Budget**

Please visit the Sponsored Projects Office Website at [http://www.ucmerced.edu/sponsored-information/sponsored-budget](http://www.ucmerced.edu/sponsored-information/sponsored-budget) for more information about UC Merced budget policies and for additional guidance. If you wish to save your progress, please click the Save button. For system assistance please contact support at [sponsoredresearch@ucmerced.edu](mailto:sponsoredresearch@ucmerced.edu).

### Item List

- [ ] General Information
- [ ] Investigator/Research Team
- [ ] Budget
- [ ] Conflict of Interest
- [ ] Regulatory Compliance
- [ ] Subcontractors
- [ ] Intellectual Property
- [ ] Name of Recipient Institution
- [ ] Name of Award
- [ ] Award Information
- [ ] Proposal Attachments
- [ ] Authorized Users
- [ ] Submission Notes

### Budget

- **Overview**
  - **Lead PI:** CHANDRABHUSHAN
  - **Sponsor:** 1382 - EDWARD MALLINCKRODT, JR. FOUNDATION
  - **Budget Form:** Summary
  - **Budget Periods:** 3

- **Project Dates:**
  - **Start:** 10/01/2014
  - **End:** 09/30/2015

- **Cost Sharing**
  - *Does this budget period include funds or contributions in the form of cost sharing or cash matching?* Yes [ ] No [ ]

- **F&A Rates**
  - **Current Period**
    - F&A Rate (1): 0.000 %
    - F&A Rate (2): 0.000 %
    - F&A Rate (3): 0.000 %
  - **Entire Project**
    - F&A Rate (1): 0.000 %
    - F&A Rate (2): 0.000 %
    - F&A Rate (3): 0.000 %

- **Use calculated values:** [ ]

  *The Effective Rate is for reporting purposes. To report different rates than those calculated, uncheck this box and enter the percentage values.*

- **Budget Categories**

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<th>Entire Project</th>
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<td>$100,000</td>
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<td>BASE for F&amp;A Rate (1): $0</td>
<td>$0</td>
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<tr>
<td>BASE for F&amp;A Rate (2): $0</td>
<td>$0</td>
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<tr>
<td>BASE for F&amp;A Rate (3): $0</td>
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<tr>
<td>Total Cost Sharing: $50</td>
<td>$50</td>
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<tr>
<td>Total Project Costs: $100,000</td>
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</tr>
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</table>

*If there is additional cost sharing in subsequent years, the total may be reflected in the Institutional Cost Sharing value for the Entire Project.*

### Additional Resources

- **Do you need additional resources that are not included in the proposed budget to conduct this project?**
  - Yes [ ] No [ ]

- **Save**
- **Reset**
CONFLICT OF INTEREST
REGULATORY COMPLIANCE

If this proposal includes the use of hazardous research materials, please check the appropriate category under Research Materials and contact the Environmental Health & Safety Office for any required approvals:

### Human Subjects
- Does this research involve HUMAN SUBJECTS?
  - [ ] Yes
  - [ ] No

### Animal Subjects
- Does this research involve ANIMAL SUBJECTS?
  - [ ] Yes
  - [ ] No

  - Does your project have IACUC approval?
    - [ ] Yes
    - [ ] No

    * If no, reason project does not have IACUC approval:
      - [ ] Not required for proposal submission
      - [ ] Approval Pending

### Research Materials
- Does the proposal involve research with any of the following? (please check at least one)
  - [ ] Radioactive Materials
  - [ ] Potential Biological Hazards (viruses, recombinant DNA, etc.)
  - [ ] Chemical Hazards (polynuclear, explosives, reagents, flammables, carcinogens, etc.)
  - [ ] Does this study involve the use of materials provided by the sponsor or any other party?
SUBCONTRACTORS
EXPORT CONTROL

Please provide information on the nature of the research project and any restrictions that may be involved. Export Controls link: [click here]

* Indicates required fields

1. Have you signed or been asked to sign a DoD Form 2345 " Militarily Critical Technical Data Agreement" related to this project?
   - Yes
   - No

2. Do you anticipate that the project work may involve:
   a. Sending/transporting/transmitting/carrying any material or equipment related to this project outside the US (examples include: GPS, biologicals, diagnostic kits, reagents)
      - Yes
      - No
   b. Travel outside the US?
      - Yes
      - No
   c. Transmitting funds (through payments, for example) or goods or technology to any of the following countries on the OFAC list?
      - Yes
      - No

3. Some types of research may have export control implications even if all work is conducted within the U.S.
   Do you anticipate that the project work may involve:
   a. Non-commercial encryption or information security software?
      - Yes
      - No
   b. Any equipment, technology, materials or software specifically designed, modified, or adapted (even slightly) for a military purpose or that may involve national security?
      - Yes
      - No
   c. Any classified materials, equipment, technology or data?
      - Yes
      - No

Save
Reset
INTELLECTUAL PROPERTY

UC MERCED SPONSORED PROJECTS

Item List 15-0015

View or edit completed sections by selecting the links next to the check marks.

- General Information
- Investigations/Research Team
- Budget
- Conflict of Interest
- Regulatory Compliance
- Subcontractor
- Export Control
- Intellectual Property
- List of Sponsored Activities
- Proposal Abstract
- Proposal Attachments
- Approving Units
- Submission Notes

Submit for Routing
Authorize Proposal
Reject Proposal

Save Reset

For immediate assistance, please contact support at CayuseSupport@ucmerced.edu

This application is supported by BPO
Please contact your budget officer if you have any questions.

- Intellectual Property
  - Indicate Required Fields
    - 1. Have you disclosed any inventions resulting from the research to the Office of Tech Transfer?
      - Yes
      - No
      - Do you think any of the results arising from this research has commercial value (i.e. would it be of interest to any company that you’re aware of?)
        - Yes
        - No
    - 2. Does the research rely on any existing patents/applications?
      - Yes
      - No
    - 4. Will this research use any materials obtained from a third party under a material transfer agreement or other agreement granting rights to the providing organization?
      - Yes
      - No
    - 5. Will this research use any material, patented or otherwise, developed in your lab at UC Merced?
      - Yes
      - No
    - 6. Is this proposal an SBIR (Small Business Innovative Research) program?
      - Yes
      - No
    - 7. Is this proposal an STTR (Small Business Technology Transfer) program?
      - Yes
      - No
## Location of Sponsored Activities

Please indicate the locations where your research will occur and assign a percentage to each location. Percentages should reflect the portion of the total budget that will be expended in that location.

**Note:** If activities occur on campus, do not also add the county as a separate in-state location. Likewise for the state and country.

### On-Campus Locations:

If any sponsored activities occur on campus, please enter below each on-campus location and the percentage of work that will be done there, and click Add.

<table>
<thead>
<tr>
<th>Location</th>
<th>Percent of Work</th>
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<tbody>
<tr>
<td>Main UC Merced campus</td>
<td>100.00%</td>
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</table>

### In-State County Locations:

If any sponsored activities occur in-state, please enter below each in-state county location and the percentage of work that will be done there, and click Add.

<table>
<thead>
<tr>
<th>Location</th>
<th>Percent of Work</th>
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<tbody>
<tr>
<td>Orange</td>
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</table>

There are no in-state county locations added to the proposal.

### Out-of-State Locations:

If any sponsored activities occur in other U.S. States, please enter below each state and the percentage of work that will be done there, and click Add.

<table>
<thead>
<tr>
<th>Location</th>
<th>Percent of Work</th>
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</thead>
</table>

There are no out-of-state locations added to the proposal.

### Out-of-Country Locations:

If any sponsored activities occur out of the United States, please enter below each country and the percentage of work that will be done there, and click Add.

<table>
<thead>
<tr>
<th>Location</th>
<th>Percent of Work</th>
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</thead>
</table>

There are no country locations added to the proposal.
ATTACHMENTS - MANDATORY

THE FOLLOWING ITEMS ARE MANDATORY:

• STATEMENT OF WORK (DRAFT OR COMPLETED)
• SOLICITATION OR PROPOSAL ANNOUNCEMENT GUIDELINES
• BUDGET WORKSHEET
• FINANCIAL CONFLICT OF INTEREST FORM
ATTACHMENTS – IF APPLICABLE

- BIOSKETCHES
- BUDGET JUSTIFICATION
- COST SHARE DOCUMENTATION
- CURRENT & PENDING SUPPORT
- DATA MANAGEMENT PLAN
- FACILITIES, EQUIPMENT, AND OTHER RESOURCES
- IDC/PI EXCEPTION FORMS
- POSTDOCTORAL MENTORSHIP PLAN
- REFERENCES
ATTACHMENTS – SUBCONTRACTS

THE FOLLOWING ITEMS SHOULD BE UPLOADED IF THE PROPOSAL INCLUDES A SUBCONTRACT:

• BUDGET & JUSTIFICATION

• LIST OF DOCUMENTS REQUIRED BY THE PRIME ORGANIZATION

• PRIME RECIPIENT CONTACT INFORMATION

• SCOPE OF WORK

• SUBRECIPIENT CONFIRMATION FORM
ATTACHMENTS CONTINUE

Please attach all applicable proposed documents.

The following documents are required before the proposal will be routed for approval:

1. Project Administration Guidelines (pdf copy or document with url link)
2. Draft budget or summary
3. Budget justification
4. Original application pages requiring institutional endorsement
5. Submission of Application Pages
6. Statement of Scope of Work
7. Budget
8. Budget Justification
9. Subaward Confirmation Form signed by an authorized official of the subaward institution

Any additional documents should also be attached if applicable to the application.

<table>
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<tr>
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<th>Attachment Type</th>
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<tr>
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APPROVING DEPARTMENTS

View of all completed sections by clicking the name next to the check.
- General Information
- Investigations/Research Team
- Budget
- Conflict of Interest
- Regulatory Compliance
- Subcontractors
- Export Control
- Intellectual Property
- Location of Sponsored Activities
- Proposal Abstract
- Proposal Attachments
- Approving Units

### Approving Units

The departments listed below will be notified to authorize this proposal record.

Make sure every affiliated department is listed on this screen before submitting the proposal record for routing.

The Office of Sponsored Projects will authorize this proposal on behalf of the University.

<table>
<thead>
<tr>
<th>Approving Unit</th>
<th>Add Unit</th>
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<tr>
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<td><strong>Unit Number</strong></td>
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<tr>
<td>1</td>
<td>E212</td>
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Authorize Unit Listing
APPROVING UNITS/ADDING A UNIT
SUBMIT FOR ROUTING
PROPOSAL APPROVAL ROUTING STARTED

From: tammyburgess92@gmail.com
Reply To: tammyburgess92@gmail.com
Fail To:
To: caythusiasm@gmail.com
CC:
bCC:
Subject: Proposal Approval Routing Started for: 14-0002 (#1)

Proposal No.: 14-0002
Proposal Lead PI: MIGUEL CARREIRA-PERPINAN
Proposal Title: BIG DATA/FDA: Parallel, distributed optimization in machine learning using auxiliary coordinates

You have successfully initiated the routing, review, and approval of the above proposal.

You may view the status and progress of this proposal by logging in to Cayuse SP. You should also verify that all investigators named on the proposal have certified the proposal. This verification is critical because the Sponsored Projects Office (SPO) will not submit the final proposal to the sponsor without PI certification(s).

You will receive an email once the proposal has been approved by all affiliated Schools/Grant Units and is in SPO for final submission to the sponsor.

To review this proposal record, click here.
From: tammyburgess92@gmail.com
Reply To: tammyburgess92@gmail.com
Fail To: caythusiasm@gmail.com,
To: 
CC: 
bCC: 
Subject: Please Certify Proposal - 14-0002 (t2)

Proposal No.: 14-0002
Proposal Lead PI: MIGUEL CARREIRA-PERPINAN
Proposal Title: BIG DATA: TDK: Parallel, distributed optimization in machine learning using auxiliary coordinates

The above proposal naming you as PI has been submitted through Cayuse SP. Your responsibility as PI is to log into Cayuse SP now and certify the proposal in your "PI Certification Inbox." Without your certification, the Sponsored Projects Office (SPO) will not submit your proposal to the sponsor. If there are multiple PIs named on this proposal, each one must complete their own certification in Cayuse SP.

You may also track the status and progress of your proposal through the "PI Certification Inbox." Click the proposal number to view the status and monitor its progression through all affiliated departments.

Please contact SPO if you have questions. <3
To review and certify this proposal record click here.

Thank you.
## Proposal Routing Status

**Proposal Administration**

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<tr>
<td>Lead Investigator</td>
<td>James Smith</td>
<td>Contract Specialist:</td>
<td>WENDY EWAID</td>
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<tr>
<td>Sponsor:</td>
<td>3170 - MENTAL HEALTH, NATIONAL INSTITUTE OF NIMH - NIH</td>
<td>Account Manager:</td>
<td>SANDRA ROSEVELT</td>
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<tr>
<td>Project Title:</td>
<td>How to get rid of that nagging cough.</td>
<td></td>
<td></td>
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### Investigator(s) who must certify this Proposal

<table>
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<tr>
<td>James Smith</td>
<td>Lead Principal Investigator</td>
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</table>

### Units(s) that must authorize this proposal

<table>
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<tr>
<th>Order Unit</th>
<th>Authorizing Person</th>
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</thead>
<tbody>
<tr>
<td>1 School of Engineering</td>
<td>Patty Lafferty</td>
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<tr>
<td>2 Sponsored Projects Office</td>
<td>Admin Office</td>
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### Status History

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<tr>
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<td>WENDY EWAID</td>
<td>9/7/2016 01:44 PM</td>
</tr>
</tbody>
</table>
AUTHORIZATION REQUEST - DEPARTMENT

From: tammyburgess92@gmail.com
Reply To: tammyburgess92@gmail.com
Fail To:
To: Runtime Variable: request.vTo (data are not available)
CC:
bCC:
Subject: Please authorize this proposal - 14-0002

Proposal No.: 14-0002
Proposal Lead Pt: MIGUEL CARREIRA-PERP/NAN
Proposal Title: BigData F:DKA:Parallel, distributed optimization in machine learning using auxiliary coordinates

The above proposal is being routed to you for approval because your School/ORU/Unit (Runtime Variable: request.vDepName (data are not available)) has been identified as an approving entity. Please review the proposal and its attachments in Cayuse SP.

To access this proposal please click here.

Add any notes as you deem appropriate, and provide your electronic authorization so that it can be forwarded to SPO for review and approval.

You also have the option of rejecting the proposal. But doing so will require you to provide an explanatory note for your rejection and will also cause the proposal to be returned to the proposal creator for re-routing.
DEAN AUTHORIZING

<table>
<thead>
<tr>
<th>Authorizing Person(s)</th>
<th>Authorizing Decision</th>
</tr>
</thead>
<tbody>
<tr>
<td>JUAN MEZA, ANNETTE GARCIA, Tammy Burgess, Brad Pitt</td>
<td>Not Yet Reviewed</td>
</tr>
<tr>
<td>JUAN MEZA, ANNETTE GARCIA, Tammy Burgess, Brad Pitt</td>
<td>Authorized By JUAN MEZA on 3/13/2015 03:32 PM</td>
</tr>
</tbody>
</table>
ROUTING FINISHED

From: tammyburges92@gmail.com
Reply To: tammyburges92@gmail.com
To: caythusiasm@gmail.com
CC:
BCC:
Subject: Proposal ready for Sponsored Projects Office (SPO) review - 14-0002

Proposal No.: 14-0002
Proposal Lead PI: MIGUEL CARREIRA-PERPINAN
Proposal Title: BIGDATA: F:DKA: Parallel, distributed optimization in machine learning using auxiliary coordinates
Created by: VANITYCAMPBELL On: Wednesday June 4 2014
Due to: 5400 - NATIONAL SCIENCE FOUNDATION - NSF via: FastLane on: Monday June 9 2014
Activity Type:
Proposal Type: New
Administering Department: Computer Science/engineering

The above proposal has been successfully routed through and authorized by all affiliated School/ORU/Unit and is now in the Sponsored Projects Office (SPO) for final review and approval.

You can check your proposal status in Cayuse SP under the Proposal Dashboard by clicking on My Proposals. You may be prompted to login.

A final email will be sent to you once this proposal has been approved or you will be contacted if any further action is required on your part.

If you have any questions, please contact SPO.

To review this record, click here.
Proposal Approved for Submission to Sponsor - 14-0002

Proposal No.: 14-0002
Proposal Lead PI: MIGUEL CARREIRA-PERPinan
Proposal Title: BigData: FA:DKA: Parallel, distributed optimization in machine learning using auxiliary coordinates

The above proposal has been approved by the Sponsored Projects Office (SPO). You will be contacted if further action is required on your part.

Please ensure the final complete proposal and all required attachments are uploaded in Cayuse SP.

Thank you.

To review this record, click here.
SUBMITTED - SPONSOR

From: tammyburgess92@gmail.com
Reply To: tammyburgess92@gmail.com
Fail To: 
To: caythusiasm@gmail.com, caythusiasm@gmail.com
CC: 
bCC: 
Subject: Proposal Submitted to Sponsor - 14-0002

Proposal No.: 14-0002
Proposal Lead PI: MIGUEL CARREIRA-PERPINAN
Proposal Title: BIGDATA:F:DKA:Parallel, distributed optimization in machine learning using auxiliary coordinates
Funding Agency: 5400 - NATIONAL SCIENCE FOUNDATION - NSF
Submission Deadline: 6/9/2014
Admin Award Dept: (E213) Computer Science/engineering
Proposal Created By: VANITY CAMPBELL
Type of Proposal: New

Your proposal has been submitted to the sponsor. You will be contacted if further action is required on your part.

To review this record, click here.